# RESEARCH



# Caries lesions diagnosis with deep convolutional neural network in intraoral QLF images by handheld device

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# Abstract

**Objectives** This study investigated the effectiveness of a deep convolutional neural network (CNN) in diagnosing and staging caries lesions in quantitative light-induced fluorescence (QLF) images taken by a self-manufactured handheld device.

**Methods** A small toothbrush-like device consisting of a 400 nm UV light-emitting lamp with a 470 nm filter was manufactured for intraoral imaging. A total of 133 cases with 9,478 QLF images of teeth were included for caries lesion evaluation using a CNN model. The database was divided into development, validation, and testing cohorts at a 7:2:1 ratio. The accuracy, sensitivity, specificity, positive predictive value, negative predictive value, and area under the receiver operating characteristic curve (AUC) were calculated for model performance.

**Results** The overall caries prevalence was 19.59%. The CNN model achieved an AUC of 0.88, an accuracy of 0.88, a specificity of 0.94, and a sensitivity of 0.64 in the validation cohort. They achieved an overall accuracy of 0.92, a sensitivity of 0.95 and a specificity of 0.55 in the testing cohort. The model can distinguish different stages of caries well, with the best performance in detecting deep caries followed by intermediate and superficial lesions.

**Conclusions** Caries lesions have typical characteristics in QLF images and can be detected by CNNs. A QLF-based device with CNNs can assist in caries screening in the clinic or at home.

**Trial registration** The clinical trial was registered in the Chinese Clinical Trial Registry (No. ChiCTR2300073487, Date: 12/07/2023).

Keywords Caries, Quantitative light-induced fluorescence, Artificial intelligence, Handheld device, Home use

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## Introduction

Currently, caries detection depends on a doctor's visualtactile inspection; the International Caries Detection and Assessment System (ICDAS) is the most widely used visual classification system [1]. As the most common oral disease, caries is a chronic bacterium-infected disease that invades enamel and dentin to the pulp, graded on a scale of 0 to 6 in the ICDAS. If necessary, radiology is performed to assist in diagnosis. It has been reported that periapical and bitewing films are more effective at detecting adjacent and occult caries but have low sensitivities, ranging from 0.24 to 0.42 [2]. However, the validity of these examinations varies among individuals, and it is difficult to detect early demineralization symptoms of caries.

Timely identification of caries is important for preserving diverse oral tissues and reducing personal and public financial burdens. However, this approach is limited by public attention to dental health and the experience of doctors, which are affected by both subjective and objective factors [3]. Currently, there are an increasing number of leading assistive technologies for caries detection, such as optical coherence tomography, QLF, and fibre optic transillumination imaging, based on physical or chemical characteristics [4–6]. Although these methods can achieve overall accuracies ranging from 0.72 to 0.91 with average repeatability, some limitations remain [2, 7-10]. Specific changes in the colour and texture caused by plaque, white spots, and others exhibiting similar physical characteristics may cause confusing results. Moreover, the judgement of experienced doctors is still needed, and the devices are usually large, preventing their flexible clinical use.

QLF is a technique that uses a blue light source to illuminate the tooth surface and reflects oral problems through differences in fluorescence intensity in the irradiated area. It works by detecting and quantifying the differences between spontaneous enamel fluorescence and fluorescence loss associated with the demineralized region, which is directly related to the enamel's mineral content. It can distinguish healthy enamel and caries [11]. Using the QLF technique to evaluate the demineralization of teeth in clinical trials and related studies has greatly reduced the work of researchers [2]. However, QLF accuracy is not as good at diagnosing proximal caries, and it still depends on the subjective identification by doctors. CNNs are an important type of artificial intelligence (AI) for automatically identifying the hierarchical characteristics of data through algorithm learning. Introducing CNNs can effectively eliminate subjective factors in the diagnosis of dental caries [12]. Due to its excellent image recognition ability, CNNs have been used to evaluate tooth defects, periodontal alveolar bone loss, and other problems [13]. Within this context, YOLOv5s, as a CNN model, stands out for its efficiency and speed. You only look once (YOLO), which is renowned for its wide-spread adoption in real-time object detection tasks, can swiftly and accurately localize and categorize multiple objects in both images and videos [14]. This distinctive feature positions YOLOv5s as an optimal choice, particularly for deployment on fast and efficient mobile devices. Consequently, YOLOv5s presents a dual advantages in terms of practicality and precision for dental image processing in dentistry [15, 16].

Here, we fabricated a small camera that emits a specific wavelength of light as an input device to detect caries. YOLO v5 was used as a CNN network for model development. Finally, we combined the images of the teeth with diagnosis points as an output and evaluated the model performance.

# Materials and methods

# Study design

This study was approved by the Institutional Review Board of the Hospital of Stomatology, Sun Yat-sen University, and was conducted in accordance with the Declaration of Helsinki (KQEC-2023-32-02). This study was registered in the Chinese Clinical Trial Registry (ChiCTR2300073487).

Before recruiting volunteers for this research, we manufactured a small and convenient QLF image capture device based on the QLF principle (Fig. 1). The device, which is shaped like a toothbrush, includes a 400 nm UV light-emitting lamp, a mini camera, a 470 nm filter, and a receiver. The width of the device's head is only 1 cm, making it very easy to collect images of teeth from different angles inside the oral cavity. Volunteers were informed about the acquisition and use of all the data and signed the informed consent form. All of the recruited volunteers agreed to participate except those who were undergoing orthodontic treatment, could not complete the examination and sampling procedures, and did not agree to participate in the project. Basic information such as sex, age, and oral hygiene habits of the volunteers was collected. First, we obtained QLF images according to established shooting standards from our original database. Then, the endodontists manually bound and labelled caries lesions in the images based on the ICDAS-II criteria. After that, YOLOv5s, an algorithm based on deep neural networks, was applied to create a model that can detect and stage caries automatically in QLF images. The study flowchart is shown in Fig. 2.

# Dataset

A total of 9478 QLF images of teeth on various surfaces from 133 volunteers were obtained at the Hospital of Stomatology, Sun Yat-sen University, between August 2023 and September 2023. In addition, two experienced



Fig. 1 QLF-based handheld device and its typical images. (A) External view of the QLF-based handheld device; B. Typical QLF images based on the ICDAS. (B) Notes: The boxes shown on the QLF images were automatically real-time generated by the caries detection model



Fig. 2 Flowchart of the study design

endodontists examined each tooth of the volunteer according to the ICDAS-II criteria [1, 2], which were also recorded and analysed. The consistency of the results of the two dentists' examinations were recorded. In cases where the results were inconsistent, the two endodontists discussed and verified the results until they reached a consensus, which was recorded. They also needed to mark the location of the caries (ICDAS score>0) on the record sheet. Those with caries were classified as superficial (ICDAS score of 1 or 2), intermediate (ICDAS score of 3 or 4) or deep (ICDAS score of 5 or 6). We used the same equipment to obtain all the QLF fluorescence images according to uniform standards. Every tooth except the third molar and the missing tooth were photographed and placed in the centre of the image. The dataset was split as follows: 70% for training (6634 QLF images), 20% for validation (1896 QLF images), and 10% for testing (948 OLF images). The testing set was never made available as training material and served as an independent set. We use the QLF images directly for training without any enhancement or preprocessing methods. The 9478 QLF images were augmented four times via rotation, scaling, zooming, and cropping operations.

## Data labelling

The caries lesions in the 9478 QLF images in this study were labelled by two expert dentists according to the recorded lesion location. In the labelling process, an irregular box was drawn according to the outline of each caries lesion for the YOLOv5s training process. The labelling process was performed using labelImg.exe, which is programmed in Python.

Characteristics	Number (%)						
	Male	Female	Total				
Number of cases	33 (24.82%)	100 (75.19%)	133 (100%)				
Number of teeth	921 (24.96%)	2769 (75.04%)	3690 (100%)				
Age^	17–25	18–27	17–27				
Oral Hygiene Habit							
1	14	27	41				
2	18	64	82				
3	1	9	10				
Average score <sup>#</sup>	$1.61 \pm 0.56$	$1.82 \pm 0.58$	1.77±0.58				
DMFT							
Sound	724 (78.61%)	2068 (74.68%)	2793 (75.69%)				
Decay	186 (20.2%)	537 (19.39%)	723 (19.59%)				
Missing	9 (0.98%)	36 (1.30%)	44 (1.19%)				
Filling	11 (1.19%)	163 (5.89%)	174 (4.69%)				
Mean DMFT <sup>#</sup>	$4.87 \pm 4.36$	$6.92 \pm 4.09$	$6.71 \pm 4.15$				
Mean PLI <sup>#</sup>	$1.43 \pm 0.82$	$1.08 \pm 0.68$	$1.18 \pm 0.74$				
ICDAS classification ^							
1–2 (Superficial)	-	-	494 (62.59%)				
3–4 (Intermediate)	-	-	164 (20.79%)				
5–6 (Deep)	-	-	131 (16.62%)				

 Table 1
 Characteristics of the study cases

Notes ^, presented as range; #, presented as mean $\pm$ standard deviation; ^, total were the tooth surfaces with caries

#### Model training and data analysis

We used YOLOv5s as our training core algorithm. The training of the CNN was repeated 3 times. The resulting model was evaluated on the validation and testing cohorts. The diagnostic accuracy (ACC), sensitivity (SEN), specificity (SPE), positive predictive value (PPV), negative predictive value (NPV), F1-score, precision-recall curve (PRC), and area under the receiver operating characteristic (ROC) curve (AUC) were estimated. *P* values<0.05 were considered to indicate statistical significance, and 95% confidence intervals (CIs) were calculated.

#### Results

There were 133 participants, 3690 of whom were photographed. Among the participants, males accounted for 24.82% and females accounted for 75.19%, with a malefemale ratio of 0.33. Females had a greater average oral hygiene habit score than males did (average score: female, 1.82; male, 1.61). The mean decayed, missing and filled teeth (DMFT) and mean PLI of all participants were 6.71 and 1.18, respectively. The characteristics of all the subjects are shown in Table 1. Of all the teeth captured in the images, 1592 were anterior teeth, 1037 were premolars, and 1061 were molars. In total, 75.69% of the total teeth were sound, 19.59% had caries, and 4.69% had fillings. Molars had the highest percentage of caries and fillings, at 48.92% and 13.38%, respectively. Compared with the other two tooth surfaces, the occlusal surface had

Table 2	Caries situation	in	different	tooth	position	and	tooth
surface							

Variables	Number (%)						
	Sound	Caries					
Tooth position							
Total	2793 (79.44%)	723 (20.56%)					
Anterior tooth							
11–13	352 (89.80%)	40 (10.20%)					
21–23	357 (91.54%)	33 (8.46%)					
31–33	386 (97.23%)	11 (2.77%)					
41-43	386 (97.23%)	11 (2.77%)					
Premolar							
14–15	220 (87.30%)	32 (22.70%)					
24–25	219 (86.56%)	34 (23.44%)					
34–35	236 (91.47%)	22 (8.53%)					
44-45	237 (91.86%)	21 (8.14%)					
Molar							
16–17	125 (52.30%)	114 (47.70%)					
26–27	127 (51.84%)	118 (48.16%)					
36–37	76 (35.19%)	140 (64.81%)					
46–47	73 (38.22%)	148 (61.78%)					
Tooth surface							
Total	8689 (91.83%)	789 (8.17%)					
Occlusal surface	1596 (76.07%)	502 (23.93%)					
Buccal/Labial surface	3517 (95.31%)	173 (4.69%))					
Lingual/Palatal surface	3576 (96.91%)	114 (3.09%)					

the most caries (23.93%). The detailed data are shown in Table 2.

A QLF-based caries detection and staging model was developed, and the model's performance was satisfactory. Regarding caries detection ability, the validation cohort achieved an AUC of 0.88, ACC of 0.88, SPE of 0.94, SEN of 0.64, NPV of 0.90, PPV of 0.75, and F1 score of 0.69. (Fig. 3; Table 3). In the testing cohort, the overall accuracy was 0.92, with a sensitivity of 0.71 and a specificity of 0.95. When the model classified the caries into three stages, it performed best in deep caries detection (AUC of 0.84, ACC of 0.98, F1 score of 0.77), followed by intermediate caries detection (AUC of 0.90, ACC of 0.96, F1 score of 0.68) and superficial caries detection (AUC of 0.79, ACC of 0.90, F1 score of 0.63). In the testing cohort, the model still achieved an ACC between 0.93 and 0.99. (Table 4) Regarding the tooth position and surface, the diagnostic performance was best for molars, with an accuracy of 0.90, and for occlusal surfaces, with an accuracy of 0.94. The diagnostic performance of the model and the confusion matrix is shown in Table 3; Fig. 3. Typical QLF images and the detection performance are shown in Fig. 1.

# Discussion

The detection and diagnosis of dental caries are usually performed by a general dentist during a routine clinical examination, and most of the time, caries have



Fig. 3 Performance of the QLF-based caries detection and staging model. Notes: curve 0, caries detection; curve 1, stage as ICDAS 1–2; curve 2, stage as ICDAS 3–4; curve 3, stage as ICDAS 5–6

Table 3 Performance of the QLF-based caries detection model in the validation and testing cohorts

Indicators	Validation cohort	Testing cohort								
		All	Tooth position			Tooth surface				
			Total	Anterior teeth	Premolar	Molar	Total	Occlusal surface	<b>Buccal surface</b>	Lingual surface
ACC	0.88 [0.86, 0.91]	0.92	0.87	0.89	0.87	0.90	0.88	0.94	0.86	0.85
SEN	0.64 [0.61, 0.69]	0.71	0.88	0.90	0.86	0.88	0.89	0.94	0.86	0.86
SPE	0.94 [0.93, 0.96]	0.95	0.89	0.88	0.87	0.92	0.87	0.94	0.86	0.84
NPV	0.90 [0.89, 0.92]	0.97	0.89	0.92	0.88	0.88	0.87	0.95	0.87	0.87
PPV	0.75 [0.72,0.78]	0.56	0.88	0.86	0.86	0.92	0.87	0.94	0.86	0.83
AUC	0.88 [0.86, 0.91]	-	-	-	-	-	-	-	-	-
F1 score	0.69 [0.67, 0.72]	0.62	-	-	-	-	-	-	-	-

Notes 95% confidence intervals are included in brackets. Abbreviation ACC, accuracy; SEN, sensitivity; SPE, specificity; NPV, negative predictive value; PPV, positive predictive value; AUC, area under the ROC curve

Table 4 Performance of the QLF-based caries staging model in the validation and testing cohorts

Indicators	Validation cohort		Testing cohort			
	Superficial caries (ICDAS 1–2)	Intermediate caries (ICDAS 3–4)	Deep caries (ICDAS 5–6)	Superficial caries (ICDAS 1–2)	Intermediate caries (ICDAS 3–4	Deep caries (ICDAS 5–6)
ACC	0.90 [0.88, 0.92]	0.96[0.94, 0.97]	0.98[0.96, 0.99]	0.93	0.98	0.99
SEN	0.58 [0.87, 0.94]	0.82[0.79, 0.85]	0.68[0.65, 0.69]	0.42	0.71	1.00
SPE	0.96 [0.87, 0.91]	0.97[0.96, 0.98]	0.99[0.97, 1.00]	0.97	0.98	0.99
NPV	0.93 [0.89, 0.92]	0.99[0.97, 1.00]	0.98[0.95, 0.99]	0.96	0.99	1.00
PPV	0.68 [0.87,0.92]	0.57[0.55, 0.58]	0.77[0.75, 0.79]	0.48	0.11	0.55
AUC	0.79 [0.89, 0.92]	0.90[0.88,0.92]	0.84[0.81, 0.86]	-	-	-
F1 score	0.63 [0.87, 0.92]	0.68[0.65, 0.70]	0.72[0.70, 0.75]	-	-	-

Notes 95% confidence intervals are included in brackets. Abbreviation ACC, accuracy; SEN, sensitivity; SPE, specificity; NPV, negative predictive value; PPV, positive predictive value; AUC, area under the ROC curve

advanced to a certain stage. The later the detection and treatment of caries are, the larger the tissue defect and the more complex the treatment. Since the early detection of caries through visual inspection is difficult [17] and people's self-awareness is lacking, developing new methods to detect caries quickly and easily in daily life is lacking, such as a detector for home use. We developed a CNN model based on intraoral QLF images by a handheld device to intelligently and instantly detect and stage caries with excellent performance. The model can automatically identify decays without interpretation by a dentist, providing a convenient solution for people with insufficient motivation behind dental care and making at-home oral health

testing possible. Compared to previous studies on AI caries detection [18–22], we used a relatively large dataset and adopted the QLF technology, which is currently recognized as effective in detecting tooth caries, especially in the early stage [23, 24], making our study reliable. Through this approach, our study not only achieved greater reliability but also provided dental institutions with an effective auxiliary diagnostic tool. Particularly for young dentists, this technology aids in caries detection, contributing to improving the diagnostic accuracy. This, in turn, mitigates the risk of misdiagnosis and consequently provides the overall precise oral health status for patients.

Currently, QLF has been used for detecting various diseases, such as dental caries, secondary caries [25], cracked teeth [26], tooth discolouration [27], and dentin-exposed tooth wear [28]. The QLF is an optical method that has good performance for the objective assessment of early changes in tooth enamel [29]. As one of the early caries detection methods, the greatest advantage of QLF technology is its high sensitivity [30]. In addition, the QLF enables noninvasive and nonradioactive multiple measurements and thus numerical, longitudinal monitoring of caries progression [31, 32]. When detecting caries, QLF can also detect red fluorescence, which is emitted by the porphyrin derivatives of bacterial metabolism [32]. Areas with greater aggregation of microorganisms, such as carious lesions, dental plaque, and dental calculus, will show increased red fluorescence. Therefore, AI equipment with QLF technology can realize convenient detection of caries at home and indicate the risk of caries by monitoring dental plaque in daily life to guide oral health care. However, QLF technology has limitations in detecting proximal caries [33] and is still subject to some factors that can lead to false positives, such as extrinsic stains [34]. Nevertheless, none of the above is sufficient to make the powerful combination of the QLF and AI less promising.

AI, especially deep learning, can identify the features of various image data and perform as well or better than human experts in caries diagnosis at various stages from images. In recent studies using CNNs for caries diagnosis, the images utilized included bitewing radiographs, CBCT, intraoral photographs, near-infrared light transillumination images, and periapical radiographs [35]. In these studies, the diagnostic accuracy of dental caries was similar to that of dentists, with the accuracy ranging between 0.82 and 0.89. Although X-ray technology, such as periapical or bitewing film, has a high sensitivity for proximal caries diagnosis, its ability to facilitate early caries diagnosis is limited. Studies using CT as an input signal can capture early enamel demineralization; however, the detection process is complicated [36]. The use of CNNs to assess dental caries in intraoral photographs is relatively uncommon. Typically, such assessments require thorough cleaning and drying of the tooth surfaces beforehand [12] and often necessitate the exclusion of various restorative materials or other concurrent hard tissue diseases before images can be captured for evaluation [37]. In contrast to these studies, our trained model does not require special preprocessing of the tooth surfaces and can be utilized by nonprofessional users to conduct the assessment. Additionally, because we annotated each image at the pixel level for carious regions, our model can provide users with the precise locations of suspected caries in the captured dental photographs. To achieve efficient diagnosis of dental caries, our study employed YOLOv5s as the training model. YOLOv5s is renowned for its highly flexible object detection performance [38], ability to train on large-scale datasets and ability to achieve rapid and accurate detection in real-time applications [14]. The selection of this model is attributed to its outstanding performance in general object detection tasks and sensitivity to fine-grained image features and adaptability to medical image processing [16]. The highly tuneable parameters and adaptability to diverse datasets inherent to YOLOv5s make it an ideal choice for this study, providing robust technical support for automating dental caries diagnosis. In this study, intraoral images after blue-violet light excitation were used as input data; the overall accuracy was 0.88, which was higher than that of other similar studies.

Although the device we developed can accurately detect and stage caries lesions, some limitations remain in this study. First, the labels used in this study were not based on pathology but on the doctor's examination by vision and a probe. To develop a more specific multiclass classifier according to degree, we will combine the X-ray film with a larger dataset in future studies. Second, the camera in the device does not have a high resolution. Because the mechanism of caries detection we used is based on the QLF, not the white light, fluorescence can compensate for the quality of the image used for AI development. Moreover, the cost is relatively low compared to that of commercial products, and the model performance was satisfactory.

# Conclusion

The caries detection and staging model based on the QLF portable device with a CNN can accurately detect caries lesions, which is expected to assist doctors in their diagnosis and increase oral health awareness of people through home use.

## **Supplementary Information**

The online version contains supplementary material available at https://doi. org/10.1186/s12903-024-04517-x.

Supplementary Material 1

#### Author contributions

Conceptualization: YL, WX. Investigation: TRK, CSS. Formal analysis: ZXY, ZJ. Data Curation: ZXY. Methodology: ZJ, LZS. Visualization: LZX. Writing - Original Draft: TRK, ZXY. Software: HF. Writing - Review & Editing: all authors. Supervision: WX. Project administration: YL.

#### Funding

This work was supported by the National Natural Science Foundation of China (No. 82103558).

#### Data availability

The datasets generated and/or analysed during the current study are not publicly available due to protect the privacy of study participants but are available from the corresponding author on reasonable request.

#### Declarations

#### Ethics approval and consent to participate

This study was approved by the Institutional Review Board of Hospital of Stomatology, Sun Yat-sen University, and was conducted in accordance with the Declaration of Helsinki (KQEC-2023-32-02). This study was registered in the Chinese Clinical Trial Registry (ChiCTR2300073487). Informed consent was obtained from all individual participants included in the study.

#### **Consent for publication**

Informed consent for publication of images involved in articles in open access journal was obtained from all study participants.

#### **Conflict of interest**

The authors deny any conflicts of interest related to this study.

Received: 24 April 2024 / Accepted: 21 June 2024 Published online: 29 June 2024

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